

**ALI Board Meeting Minutes**  
**December 12, 2006**  
**IUPUI Library**

Present: Dan Howell, Janet Brewer, Susan Catt, Bill Darr, Arthur Hafner, David Lewis, Susan Mannan, Jim Mullins, Mary Persyn, Robert Roethemeyer, Bob Slayton, John Stachacz, Judy Tribble, Judie Violette (chair), Carolyn Walters (for Pat Steele) and Jennifer Younger

**Minutes—Susan Catt**

Minutes from the Board meeting of October 10, 2006 were approved. Jennifer Younger made the motion and Susan Mannan seconded.

**Treasurer's Report—Mary Persyn**

Mary presented the Treasurer's report as of November 30, 2006. Total expenditures for the year to date are \$3,558.10 leaving a balance of \$34,230.11. Pass-throughs for database purchases totaled \$1,251,654.96.

**Distance Education—Susan Mannan**

The Distance Education Task Force made the first of two presentations for the Indiana College Network (ICN) coordinators on November 17, 2006 via 2-way video. The goal was to let the coordinators know about library services for distance education students. Ball State (Suzanne Rice), IU (Anne Haynes), Indiana Wesleyan (Jule Kind), Ivy Tech (Susan Mannan), and USI (Phil Orr) presented their websites and talked about their services. The committee set up a template to outline their services. There will be a second presentation by addition colleges in February. The completed templates will be posted on both the ALI website and with a link on the ICN website. The template will be made available to all ALI members who want their information posted.

Carol Brunty, the ICN Manager of Student Services, is interested in having a library feature on the ICN webpage, to include a column for library news.

ICN coordinators expressed a need for citation guides (MLA, APA, etc.) to be on each institutions website and for a list of partnership students (those registered at one institution but taking another institution's course).

The ALI Distance Education Taskforce will be thinking about how they can work with the new ILF Distance Education Division.

**Remote Storage—Carolyn Walters (for Pat Steele)**

The I.U. campus is entertaining bonding for the second ALF module. If the bonding goes through it should take nine to twelve months for the module to be built. Cost is projected at 5.7 to 6 million dollars. Building could start as early as spring. Pat will send word out.

**I-Light Project—Judie Violette**

The Indiana Light Archives (government documents) project is waiting for the Indiana State Library (ISL) to distribute the disposal guidelines. The ISL is developing a needs/offers template to be posted on their website so that libraries can post their government document needs and can offer items of special interest. Libraries may dispose of documents from 1976 to the present. A policy for items older than that is still being developed. The three research libraries and the ISL are developing plans for which SUDOC call number areas they will be responsible. The three would serve as reference centers for those areas. Their responsibility will include collecting things that may not have come through the depository, cataloging and preserving. Decisions on loaning are still being developed. The goal is to get people to the documents by developing good collections and offering good service and doing this by spreading out the work.

How the I-Light items are going to be identified in INCat is still being developed.

It was noted that the revision of the disposal guidelines has enormous potential for saving libraries time.

**Guest—Jim Corridan**, Associate Director Indiana State Library and State Archivist. Roberta Brooker and Jim were called before the State Budget Committee to explain the State Library budget. It is the first time in 15 years that the State Library been asked to come before the State Budget Committee.

The first question was “what is the current fund balance for INCOLSA?” It is 7.5 million dollars. The second question was “why should we give INCOLSA 2.24 million dollars when they have this reserve?” Nearly every question tied into this reserve.

There will probably be changes in the way statewide library services are funded in this next budget. One suggestion was to zero INCOLSA out for the next two years and have them spend down their reserves.

This would have a direct impact on LSTA funding because it would appear that no funds were being allocated for the services INCOLSA provides. One test for receiving LSTA funding is “maintenance of effort” With no appropriation for INCOLSA services the State would fail that test, which would bring about a reduction in LSTA funding.

In theory, ILF, ISL, and INCOLSA are planning to meet to figure out how to proceed and what the best solutions are. One idea may be for INCOLSA to take the two year appropriation and then give the same amount back out of their reserve. The State can then reallocate that money and still meet the maintenance of effort test for receiving LSTA funds.

The Indiana State Budget Agency, the Governor, and the Indiana State Legislature are all involved in the decision.

The ISL Board at its December meeting voted to have the ISL staff work with ILF and INCOLSA to maximize the dollars available to Indiana libraries through the legislative process.

Bob Slayton asked about the INCOLSA reserve and whether it was there to fulfill fiduciary responsibilities. Jim responded that no Indiana state agencies have a reserve. The goal of public libraries is to have a six month to one year operating reserve. INCOLSA’s reserve is far beyond what they need for cash flow.

Jim Mullins noted that an INCOLSA reserve of one year was intended to allow INCOLSA to phase out programs and services should funding be stopped.

Mary Persyn stated that during her tenure on the INCOLSA Board the rationale was that INCOLSA have a reserve equal to two years’ State funding.

Jim Corridan noted that should the State decide to no longer fund INCOLSA, the State’s intent would not be that INCOLSA exist for two more years.

The agencies that might fall under a new department for cultural/educational organizations are meeting and are identifying all the services they provide and doing a SWOT analysis and identifying what would be the efficiencies and benefits of being combined. Increased efficiency could allow for increased services. Physically most agencies cannot be combined.

INCOLSA was established in the State statutes as a municipal corporation. Because of that it has special privileges but also has to follow the rules of other public entities. Because it is a State entity it can also be cut by the State.

The ISL has put together a committee that will be looking at statewide services, un-served and underserved areas, and certification. These issues were raised in the PROBE (Program Results: an Outcome-Based Evaluation) Report available at <http://www.in.gov/omb/gefp/2006PROBEReport-Full.pdf>

The Governor has not signed off on the PROBE Report. He backs some of the proposals but not others.

Public library certification as it currently exists in Indiana costs one dollar and is good for life. The State may be looking at raising the certification standards and using the State Library, ILF, possibly INCOLSA, and colleges around the State for help developing new standards.

Everyone in Indiana has access to library services in one way or another (PLAC cards, INSPIRE, public universities) but there is a feeling that people across the State should have access to walk-in services at their own public library. Currently there are 239 public library districts and about 500 branches (including main branches). The smallest district serves 241 people and is open eight hours per week. There are libraries with no phones and libraries with no computers. The question is – Are they really a library? 87% of districts serve less than 10% of the population. There are gross inefficiencies in the way we are delivering services. In some counties, branches are by township with some counties having 7-9 branches. Combining some of those branches would allow more money to go for library services. The State is not talking about a 92 library district system. Plans are to have a study group work with a consultant (from outside Indiana) to help decide how best to resolve this situation. One of the main issues is how many people generating how much money will it take to sustain a true library system.

To put things in perspective, Marion County Public Library is the largest district. If you start at the bottom of the list of districts by population served, it would take the bottom 153 districts to equal Marion County PL in size. INCOLSA has set up its voting structure so Marion County has one vote as do all the other districts. INCOLSA may have a structural problem: instead of responding to the population of Indiana they are responding to the number of libraries. That may mean they are not efficient in the way they provide services.

There is also the issue of statewide services and who is best suited to provide them. The State provides \$800,000 for the I\*Ask service and \$200,000 (or 60% of the cost) for Wheels. One public library in Indiana generates 25% of all the Interlibrary loans through I\*Ask, which means they are being subsidized to the tune of \$200,000. Five of the top ten I\*Ask libraries are prisons (another 25%). The State is considering buying more books for prison libraries to cut back on this need. It is inefficient to have six libraries receiving \$400,000 in public support.

Many small libraries are using State money just to exist. Having larger systems would allow for stronger regional libraries that could sustain themselves, with State money being used for improved services not just for subsistence.

Jim Mullins and David Lewis are on the committee to consider these issues. They are going to start at zero and try to come up with the perfect structure and see if the legislature will fund it. They will be looking at the entire structure of library services in Indiana, including academics. David suggested that one idea might be to look at the Ivy Tech regions as a way to serve the un-served populations. That is the kind of ideas that should be explored. Jim Corridan said that the goal is to have Indiana rank number one in providing library services to its citizens.

David Lewis asked if the window of opportunity to work all this out is closing. Jim stated that there will be adjustments made as to how library funding is divvied out. The issue of the INCOLSA reserve can be isolated, but there will be a little spill over regarding INSPIRE. The \$1.25 million for INSPIRE is not in jeopardy. The LSTA money the State Library provides to operate INSPIRE is fine. The issue is the additional money that had been negotiated with INCOLSA. The State Library was going to use \$375,000

out of re-dedicated public library distribution funds and INCOLSA was going to match that out of its reserves to boost INSPIRE up to \$2 million per year. That piece (\$750,000) is in jeopardy. The State Budget Office has not put the re-dedicated funds in the budget and there is uncertainty about where INCOLSA will be. INSPIRE will still exist – it just may not get the additional \$750,000.

Indiana has standards for public libraries are set by the State Library Board but they are not in statute. If a library is not meeting standards the state can cut off their e-rate funding or LSTA funding. Also if a public library has the same audit exception for State Board of Account audits two years in a row they are cut off from LSTA funding.

The public library law in Indiana was written in 1947 and that is where the property tax funding formula comes from. A problem legislature may look at is that library boards are composed of appointees but have the right to raise taxes. The Governor already has on his radar the fact that public libraries and school corporations are the biggest contributors to rising property taxes.

### **Strategic Planning—Jim Mullins**

Jim reported that the committee has been formed. Members are Jim Mullins, Purdue University, Chair; Tim Sutherland, I.U. Northwest; John Fribley, ITCC South Bend; Kristina Brewer, Tri-State University; Janet Fore, St. Mary's College; and Ed Edmonds, University of Notre Dame Law School. The first meeting will be at St. Mary's on December 20. Jim passed out the charge, which is:

- Review the success and continuing challenges of the existing strategic plan.
- Complete environmental scan for academic libraries in general and for Indiana academic libraries specifically.
- Survey members to determine ALI priorities for 2007-2012.
- Draft Strategic Plan 2007-2012 for the Academic Libraries of Indiana to be submitted to the Board for its consideration at the February 13, 2007 meeting.

### **Resource Sharing—Robert Roethemeyer**

The INCOLSA Resource Sharing Taskforce has met twice in the past two months. The group is putting together a white paper to summarize the data from OCLC as well as putting together some baseline recommendations for getting a workable ILL solution in place in the state. It is meant to be a reflective piece to draw some of the other players to the table. An equal number of the top lending public and academic libraries were invited to take part, but most publics declined.

Over 90% of the material used in resource sharing in the state moves among 29 libraries.

Robert met virtually with the Ivy Tech Library directors. He presented to them the results that he presented to the Board at the last meeting on the ALI pilot project. Ivy Tech's OCLC symbol flip is complete. The Ivy Techs are now having an internal discussion about resource sharing.

On the SFX front the issue is how do we use SFX to bridge the gap between the richness of our local catalogs and the richness of WorldCat and INCat? Several ALI members have SFX turned on in their databases so they can push into the local catalog. PALNI is preparing to turn SFX on in their local catalog to push out to databases, etc.

Robert is working with Collette Mak to set up the next round of workshops. They will include training for the non-pilot libraries to get direct request in place. There also needs to be a philosophical discussion on load leveling and how we will work that out. The small libraries need to be trained on Odyssey so that with non-returnables the workflow of the small academics would mirror the workflow of the big academics, allowing the big academics to easily push out articles to the smaller libraries. There is no charge for Odyssey available at <http://www.atlas-sys.com/products/odyssey/>, but training will be needed and libraries will need a scanner and computer in place.

Another resource sharing workshop idea is to bring together some of the best practices and innovations that are going on in the state. Bring together those that are innovating and those that want to improve. This might be a possible way to use some of our reserve money.

David Lewis suggested that perhaps a solution more efficient than load leveling would be to rely on and compensate the large libraries with efficient operations.

Robert reported that the data collected from the pilot is in aggregate – have not drilled down. The committee is looking at type of library, type of resource, and what classification areas are used most heavily. B, H, and P are the most frequently requested monograph areas.

The medical journal need is big in the state. The IU Medical Center library has suffered budget cuts and is now charging a considerable amount to keep requests down. There is talk of using State money to fund an additional person there to meet the medical journal needs around the state.

### **Database Licensing—Dan Howell**

Collette Mak of INCOLSA and Lewis Miller and Dan Howell met on October 22, 2006 to review resource sharing data and to discuss communications and process between INCOLSA and the ALI Licensing Committee. INCOLSA handles the invoicing of ALI brokered database purchases at no charge to us. This service to academic libraries is supported by LSTA funding.

Two offers have been reviewed by the Licensing Committee and put out to the ALI Collection Development list: Scholars Resource (for purchase of art image collections) and History E-Book Project.

The Committee will be meeting in person on Monday, December 18, 2006 at Indiana University-Kokomo to review, among other items, the resource sharing data with Collette Mak particularly from a perspective of exploring implications for periodical/serial aggregations. Options for expanding Academic Search Premier among academic libraries and beyond is another matter to be explored at this meeting. The Committee will also discuss an RFP for an institution to negotiate databases for ALI.

INSPIRE issued an RFP in October and responses are being reviewed by the INSPIRE Advisory Committee. Academic library representatives to the INSPIRE Advisory Committee are:

Lewis Miller, Chair (PALNI/Butler)

David Lewis (IUPUI)

Myrna McCallister (ISU)

Dennis Lawson (Ivy Tech)

Elaine Skopelja (IN Health Sciences Library Association, IU Medical School)

Dan Howell (PALNI/Taylor)

It was noted that Academic Search Premier was not part of the two packages EBSCO bid. An issue key to adding ASP is whether INSPIRE receives the extra \$750,000. Adding ASP would have to be part of negotiations. Many publics may not have an interest in ASP. INCOLSA will do the final negotiations. Demos will take place in February with decision in early March. July 1 is the start date.

Database purchases brokered by ALI in 2006:

**American Chemical Society:** 34 Web only journals; Web only archives; Chemical & Engineering News

**EBSCO:** ATLA, ATLAS, CINAHL, Communication & Mass Media, EconLit, General Science Abstracts, GeoRef, MLA, PsycArticles, PsycInfo, RILM, SocIndex Academic Search Premier

**REFWorks:** RefWorks

**ProQuest:** New York Times Historical

### **Public Relations/Web—Arthur Hafner**

Arthur demonstrated the ALI website available at <http://ali.bsu.edu/> and pointed out recent updates. Arthur and Judie both encouraged everyone to send news for posting on the ALI site – particularly news about our people. There was a general discussion of providing alumni access to databases and making websites Google-friendly to make them retrievable friendly.

### **Miscellaneous**

Jim will report on the strategic plan at the next meeting. Jennifer will report in either February or April on the OCLC Members Council (the big picture). Jennifer and David will look into ideas for the May members meeting. Mentioned as possible speakers/programs for the May meeting were Lorcan Dempsey (OCLC), Cathy De Rosa (OCLC-major contributor to Perceptions of Libraries and Information Resources), the pilot projects going on, and using WorldCat as a local catalog. Judie suggested a program for the Board or the members on using the data we all collect effectively with our administrations.

Next meeting February 13, 2007

Submitted by  
Susan L. Catt  
March 27, 2007